

UNITED STATES HOUSE OF REPRESENTATIVES 2018 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

**HAND
DELIVERED** 1 of 3

LEGISLATIVE RESOURCE CENTER

2019 JUN 19 AM 8:38 (Office Use Only)

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name: Fred Upton Daytime Telephone: 202-225-3761

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>MI</u> District: <u>6</u>	Officer or Employee	Employing Office:	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

8 of the Trusts of which I have no control did so - I have no ownership in most of them

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Upston

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																				
	\$1-\$1,000																																				
	\$1,001-\$15,000																																				
	\$15,001-\$50,000																																				
	\$50,001-\$100,000																																				
	\$100,001-\$250,000																																				
	\$250,001-\$500,000																																				
	\$500,001-\$1,000,000																																				
	\$1,000,001-\$5,000,000																																				
	\$5,000,001-\$25,000,000																																				
	\$25,000,001-\$50,000,000																																				
	Over \$50,000,000																																				
	Spouse/DC Asset over \$1,000,000*																																				
	ST Starbucks																																				
	ST Under Armour LLC																																				
	ST Under Armour LLC																																				
	ST XPO Logistics																																				
	Yum Brands																																				
	Yum China Holdings																																				
	Columbia TriStar																																				
	Hartford Financial Services																																				
	MFS Mutual Funds																																				
	ST Chesapeake Energy																																				
	ST Morgan JPM																																				
	ST Stylestar																																				
	SP AT&T																																				
	SP Altria Group																																				
	SP BP PLC																																				
	SP British Airways																																				

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*		
SP	Comcast C/A			X											X																					
SP	DeaDeport			X											X																					
SP	Exxon Mobil						X								X																					
SP	Future Brands			X											X																					
SP	G.E.			X											X																					
SP	IBM			X											X																					
SP	Pfizer						X								X																					
SP	Philip Morris			X											X																					
SP	Past Holdings			X											X																					
SP	Raytheon			X											X																					
SP	Synchro Financial			X											X																					
SP	21st Century Fox C/A			X											X																					
SP	Verizon				X										X																					
SP	WikiLeak														X																					
SP	Zoetis C/A				X										X																					
SP	Langford Tabor Fd 1984			X											X																					

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	<i>Hartford Life Ins</i>															X																						
	<i>NY Life Ins</i>															X																						
	<i>SP National Life Ins</i>															X																						
	<i>Mass Mutual Life Ins</i>															X																						
	<i>SP Retirement Plan/IRA</i>															X																						

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>These trusts or not owned by controlled companies of the American Trust b-1</i>																																		
	Trusts that make up									X						X		X																	
	Composed of:																																		
	Pepsi				X																														
	Exxon Mobil				X																														
	AT&T				X																														
	Verizon				X																														
	Vodafone					X																													
	Lumbody-Clark						X																												
	PFizer							X																											
	Merck Research								X																										
	Alcoa Aluminum								X																										
	American Express								X																										
	American Fin.								X																										
	IBM								X																										
	American medicine									X																									
	National Steel Ind. Corp.										X																								

Name: Winton

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Use additional sheets if more space is required.

Name: <u>Lepton</u>	Page <u>9</u> of <u>31</u>
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Use additional sheets if more space is required.

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Wenger's Ind. Ind. V. 84					X																														
	Wenger's Real Estate ETP				X																															
	Pine Hill Hall			X																																
	Franklin Housing Co.			X																																
	Northern Shore Property			X																																
	Wenger's Limited Term				X																															
	Flexshore TRPS				X																															

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BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Assets and/or Income Sources	Value of Asset	Type of Income	Amount of Income	Transaction
J.P. Morgan managed trusts controlled by w/o I have in me. O trusts. No divorce Diver	A None B \$1-\$1,000 C \$1,001-\$15,000 D \$15,001-\$50,000 E \$50,001-\$100,000 F \$100,001-\$250,000 G \$250,001-\$500,000 H \$500,001-\$1,000,000 I \$1,000,001-\$5,000,000 J \$5,000,001-\$25,000,000 K \$25,000,001-\$50,000,000 L Over \$50,000,000 M Spouse/DC Asset over \$1,000,000*	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None II \$1-\$200 III \$201-\$1,000 IV \$1,001-\$2,500 V \$2,501-\$5,000 VI \$5,001-\$15,000 VII \$15,001-\$50,000 VIII \$50,001-\$100,000 IX \$100,001-\$1,000,000 X \$1,000,001-\$5,000,000 XI Over \$5,000,000 XII Spouse/DC Asset with Income over \$1,000,000*	P, S, S(part), or E
Total value: Composed of:	X	X	X	S
Unemployed - wife	X			
SPDR S&P 500 - SPY	X			
SPM GLRBS ID TELL	X			
I shares Under EFF	X			
Dodge & Cox Stock DOCKX	X			
APAC Pacific ARZK	X			
Dodge & Cox Income DODIX	X			
SPM Equity JUEHX	X			
E Shares IETRA	X			
MES Int. MINRX	X			
Action. Int APHXX	X			
Renaissance Equity RELIX	X			

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>None</i>																																		
	<i>TPM. Intern 31724</i>																																		
	<i>CEM 6/10/07 CRIIX</i>																																		
	<i>Vanguard Int VULIX</i>																																		
	<i>Six Funds CUSIX</i>																																		
	<i>Fidelity 500 FPAIX</i>																																		
	<i>Six Funds CUSIX</i>																																		
	<i>TPM 63 L/C 32PYX</i>																																		
	<i>TPM Bond BDCX</i>																																		
	<i>EShares SHY</i>																																		
	<i>Lord Abbett 66 LBDX</i>																																		
	<i>Amer. Fund TFE8X</i>																																		
	<i>Blackstone 3X77YX</i>																																		
	<i>TPM Bond BDCX</i>																																		
	<i>Diamond 4417 DIARYX</i>																																		
	<i>Six Funds CUSIX</i>																																		
	<i>EgmontFnd EQ1PY</i>																																		

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Use additional sheets if more space is required

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	<i>58 Mordor</i>																																			
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Ross Shaws																																			P
	Case Cola																																			P
	NVDA																																			P
	Key Corp																																			P
	Dollar Tree																																			P
	Wal-Mart																																			P
	LUEX																																			P
	Allergies																																			P
	Diamondback Energy																																			P
	NXP Semiconductors																																			P
	SEB Financial																																			P
	VerdePharm																																			P
	Assurance																																			P
	Unibet Tech																																			P
	O'Reilly Auto																																			P
	APTIL																																			P

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Upston

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>JP Marlar</i>	None																																	
	<i>Dolph Air Line</i>			X																															
	<i>Yan Brock</i>			X																															
	<i>Alexander Olsen</i>			X																															
	<i>Intervac Fund/B</i>			X																															
	<i>Quelam</i>			X																															

SCHEDULE B - TRANSACTIONS

Name:

W. J. J.

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period for any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the capital gains box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Date (MO/DAY/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange		A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
	Example Mega Corp. Stock			X		3/9/18		X									
	These transactions done by JP Morgan in Trusts w/o control by me-I have no ownership in the value,																
	Whirlpool		X			10/2/18		X									
	Whirlpool		X			1-16-18		X									
	Bank of America	X				1-16-18	X										
	British Airways		X			1-16-18	X										
	Microsoft		X			1-16-18	X										
	Modelerz		X			1-16-18	X										
	Pfizer		X			1-16-18	X										
	Wells Fargo		X			1-16-18	X										
	Medtronic	X				7-20-18	X										
	NVIDIA	X				6-14-18	X										
	Pepsi	X				5-24-18	X										
	Alphabet LLC	X				5-2-18	X										
	Apple		X			4-30-18	X										
	Corning GLA		X			4-13-18	X										

SCHEDULE B - TRANSACTIONS

Name:

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child

SP, DC, JT	Asset	Type of Transaction				Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange		A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		3/9/18		X									
	These transactions done by T.P. Morgan in funds w/o capital by me																
	wh.v. pool		X			11/15/14		X									
	wh.v. pool		X			2/8/14		X									
	wh.v. pool		X			3/8/18		X									
	wh.v. pool		X			4/6/14		X									
	wh.v. pool		X			11/2/14		X									
	wh.v. pool		X			5/7/18		X									
	wh.v. pool		X			6/6/14		X									
	wh.v. pool		X			7/2/18		X									
	wh.v. pool		X			8/8/14		X									
	ESAT		X			7-24/18		X									
	Amazon		X			11/15/14		X									
	Philip Morris		X			11/24/14		X									
	Exelcior Hefloway		X			11/13/14		X									
	wh.v. pool		X			11/2/18		X									

SCHEDULE B - TRANSACTIONS

Name:

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	SP	Example	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
				Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
			SPM / # contrib / # ownership			X		X	3/9/18		X									
			ITX Co.		X				1-11-18	X										
			21st Cent Fox - CCA	X					1-11-18	X										
			United Continental		X				1-11-18	X										
			Verizon Phoenix	X					1-11-18	X										
			West Conneho's	X					1-11-18	X										
			Zimmer Holdings	X					1-11-18	X										
			Wells Fargo		X				1-11-18	X										
			Workday Inc CCA	X					1-11-18	X										
			Celgene	X					1-11-18	X										
			Mycothen	X					7-26-18	X										
			21st Cent Fox - CCA		X				9-24-18	X										
			Verifx	X					9-17-18	X										
			Facebook		X				9-17-18	X										
			Annie's Bureau	X					5-17-18	X										
			Rockstar Technology	X					8-13-18	X										
			American Ent		X				9-13-18	X										
			Wh.../pool		X				9-12-18		X									

SCHEDULE B - TRANSACTIONS

Name:

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

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SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/18		X									
	<i>IBM / # control / # ownership</i>																	
	<i>Global Science</i>	X					1-11-18	X										
	<i>Hemmywell</i>		X				1-11-18	X										
	<i>IBM</i>	X					1-11-18	X										
	<i>Keycorp</i>	X					1-11-18	X										
	<i>ATI Lilly</i>	X					1-11-18	X										
	<i>Mastercard C/A</i>		X				1-11-18	X										
	<i>Merck</i>	X					1-11-18	X										
	<i>Microsoft</i>		X				1-11-18	X										
	<i>Procter & Gamble</i>		X				1-11-18	X										
	<i>VeriFone Software</i>	X					1-11-18	X										
	<i>Neuberg Insurance</i>		X				1-11-18	X										
	<i>NVIDIA</i>	X					1-11-18	X										
	<i>Parker Hannifin</i>	X					1-11-18	X										
	<i>Pepsi</i>	X					1-11-18	X										
	<i>Pfizer</i>		X				1-11-18	X										
	<i>Philip Morris</i>	X					1-11-18	X										
	<i>Stanley Black & Decker</i>		X				1-11-18	X										

SCHEDULE B - TRANSACTIONS

Name:

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.			Type of Transaction				Date	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	(M/D/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/18		X									
	JPM / Fidelity / Ownership																	
	Amalgam Divers		X				1-11-18	X										
	Apple		X				1-11-18	X										
	Bank of America	X					1-11-18	X										
	Blackrock Inc		X				1-11-18	X										
	Boston Scientific	X					1-11-18		X									
	Bush / Myers Smith		X				1-11-18	X										
	CMS Energy		X				1-11-18	X										
	Capitol One Fin	X					1-11-18	X										
	Citigroup	X					1-11-18	X										
	Concent CCR		X				1-11-18	X										
	Delta Airlines	X					1-11-18	X										
	Allegion	X					1-11-18	X										
	Dick Nithart	X					1-11-18	X										
	Micromedex Tech	X					1-11-18	X										
	LeBlanc Sacks	X					1-11-18	X										
	Dowdyman		X				1-11-18	X										
	EOC Resources		X				1-11-18	X										

SCHEDULE B -- TRANSACTIONS

Name:

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

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SP, DC, JT	SP	Example	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
				Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
			<i>SPM/No control/Heavily</i>																	
			<i>Coca Cola</i>	X						X										
			<i>Abbot Labs</i>		X						X									
			<i>IBM</i>			X						X								
			<i>Oracle</i>	X								X								
			<i>Oracle</i>	X								X								
			<i>Cigna</i>		X							X								
			<i>SVB Financial</i>	X								X								
			<i>TX Co</i>		X							X								
			<i>Pepsi</i>	X								X								
			<i>Apple</i>		X							X								
			<i>Acer/Asus</i>	X								X								
			<i>Broadcom</i>		X							X								
			<i>Abbot Labs</i>	X								X								
			<i>Adobe Systems</i>	X								X								
			<i>Avaya Tech.</i>	X								X								
			<i>Alphabet LLC</i>		X							X								
			<i>Amazon</i>		X							X								

SCHEDULE B - TRANSACTIONS

Name:

W. Johnson

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SP	Example Mega Corp. Stock			X		X	3/9/18		X									
	TPM / #control / #cloudy																	
	whirr/pal		X				9/18/18		X									
	whirr/pal		X				9/12/18		X									
	Noike		X				8/27/18	X										
	ESLc Louder	X					8/27/18	X										
	Noike		X				8/27/18	X										
	whirr/pal		X				8/14/18		X									
	whirr/pal		X				3/14/18		X									
	whirr/pal		X				10/3/18		X									
	ESLc Home Arts	X					9/24/18	X										
	21st Cent Fox		X				9/24/18	X										
	NetPiv	X					9/12/18	X										
	Facebook		X				9/12/18	X										
	Microchip Tech		X				9/12/18	X										
	Ameloy Dries	X					9/12/18	X										
	Bethlin Highway	X					9/13/18	X										
	Eshe L	X					8/27/18	X										
	American Dnt		X				9/13/18	X										
	21st Cent Fox		X				9/13/18	X										

SCHEDULE B - TRANSACTIONS

JP Morgan Smith

Name:

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SP	Example Mega Corp. Stock			X		X	3/9/18		X									
	Massachusetts Alliance		X				11/3/18	X										
	Sherry Clarke		X				10/10/18	X										
	Leather Bank of NY/Indo		X				12/10/18	X										
	SalesForce.com	X					10/10/18	X										
	NVIDEA	X					10/10/18	X										
	whur'pool		X				10/10/18	X										
	whur'pool		X				10/10/18	X										
	Goldman Sachs		X				12/24/18	X										
	Pendulum Fin.	X					12/24/18	X										
	whur'pool		X				12/10/18		X									
	whur'pool		X				12/10/18		X									
	General Dynamics	X					12/17/18	X										
	Ross Stores	X					12/13/18	X										
	Linda	X					12/13/18	X										
	ISKE books		X				12/13/18	X										
	whur'pool		X				12/13/18		X									
	Anna-Richt	X					12/13/18	X										

SCHEDULE B - TRANSACTIONS

Name:

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

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		<i>These transactions done by Northern Trust wife control by me</i>																	
		<i>CNO Financial</i>		X			X	4/18/18	X										
		<i>Boeing</i>	X					4/18/18	X										
		<i>Castro</i>	X					4/18/18	X										
		<i>Whitpool</i>		X				5-30-18	X										
		<i>Carnival Corp</i>	X					5-30-18	X										
		<i>Boeing</i>	X					5-30-18	X										
		<i>Disney</i>	X					5-30-18	X										
		<i>General Dynamics</i>	X					5-30-18	X										
		<i>Henry Well</i>	X					5-30-18	X										
		<i>Microsoft</i>	X					5-30-18	X										
		<i>TIx</i>	X					5-30-18	X										
		<i>Neenah Paper</i>		X				9/11/18	X										

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INCOME LIMITS and PROHIBITED INCOME: The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,050. The 2019 limits \$28,440. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Use additional sheets if more space is required.

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Amount of Liability

SCHEDULE E -- POSITIONS

Name of Organization

Use additional sheets if more space is required.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]